

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Scott D. Guthrie et al.

Application No. 09/894,828

Filed: June 29, 2001

For: ASP.NET HTTP RUNTIME



Group Art Unit: 2152

Examiner: TO BE ASSIGNED

Atty Docket: 003797.00147

**RESPONSE TO "NOTICE TO FILE MISSING PARTS"**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In response to the **NOTICE TO FILE MISSING PARTS OF APPLICATION--  
FILING DATE GRANTED** dated August 20, 2001, Applicants submit herewith the following documents for appropriate action by the U.S. Patent and Trademark Office:

1. Fee Transmittal Sheet Form PTO/SB17 (In Duplicate);
2. Copy of the Notice to File Missing Parts;
3. Executed Declaration for Patent Application;
4. Executed Assignment with cover sheet; and
5. Substitute Formal Drawings (3) Sheets – correcting the margins.

The Assistant Commissioner for Patents is authorized to charge our Deposit Account No.

19-0733 the amount of **\$1,126.00**, as follows:

09/894,828

\$740.00 base filing fee;

\$216.00 for 12 additional claims over 20;

\$130.00 Surcharge for late filing of executed Declaration; and

\$ 40.00 Recordation of Assignment.

It is not believed that any additional fees for extensions of time or fees for net addition of claims are required. However, in the event that additional fees are necessary, then such fees are authorized to be charged to our Deposit Account No. 19-0733.

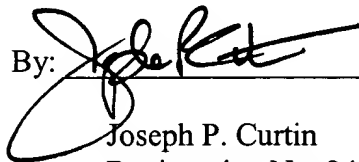
Respectfully submitted,

BANNER & WITCOFF, LTD.

Date: Oct. 10, 2001

1001 G Street N.W.  
11th Floor  
Washington, D.C. 20001  
(202) 508-9100

By: \_\_\_\_\_



Joseph P. Curtin  
Registration No. 34,571

TOPTOT "B234560



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/894,828	06/29/2001	Scott D. Guthrie	03797.00147

22907  
BANNER & WITCOFF  
1001 G STREET N W  
SUITE 1100  
WASHINGTON, DC 20001

RECEIVED

AUG 21 2001

BANNER &amp; WITCOFF, LTD.

CONFIRMATION NO. 3720

FORMALITIES LETTER



\*OC000000006450787\*

Date Mailed: 08/20/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$216.
  - \$216 for 12 total claims over 20.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1056.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

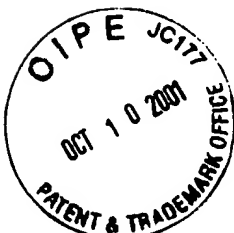
09894828

10/12/2001 NNDHCHM1 00000073 190733

740.00 CH  
130.00 CH  
216.00 CH

01 FC:101  
02 FC:105  
03 FC:103

F00T 324660



Initial Patent Examination Division (703) 308-1202

[illegible]

DOCKETED

AUG 21 2001  
Missing Parts Due 170C 2001  
LAST DAY 17NR 2001

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

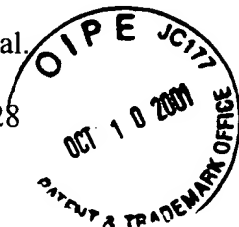
In re Application of:

Scott D. Guthrie et al.

Application No. 09/894,828

Filed: June 29, 2001

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Group Art Unit: 2152

Examiner: TO BE ASSIGNED

Atty Docket: 003797.00147

**SUBMISSION OF SUBSTITUTE DRAWINGS**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants submit herewith three (3) sheets of substitute formal drawings in accordance with the **NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION - FILING DATE GRANTED** dated August 20, 2001.

No fee is believed to be associated with the filing of this paper. Nonetheless, should the Patent and Trademark Office determine that a fee is required, please charge our Deposit Account No. 19-0733.

Respectfully submitted,

BANNER & WITCOFF, LTD.

Date: Oct. 10, 2001

1001 G Street N.W.  
11th Floor  
Washington, D.C. 20001  
(202) 508-9100

By: Joseph P. Curtin

Joseph P. Curtin  
Registration No. 34,571

Section 10

**FEE TRANSMITTAL**  
**For FY 2002**

*Patent fees are subject to annual revision.*

**TOTAL AMOUNT OF PAYMENT** (\$) 1126

**Complete if Known**

Application Number	09/894,828
Filing Date	June 29, 2001
First Named Inventor	Scott D. Guthrie et al.
Examiner Name	TO BE ASSIGNED
Group / Art Unit	2152
Attorney Docket No.	003797.00147

**METHOD OF PAYMENT (check one)**

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number: 19-0733

Deposit Account Name: BANNER & WITCOFF, LTD.

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

**FEE CALCULATION**

1. **BASIC FILING FEE**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
<b>SUBTOTAL (1)</b>					<b>(\$ 740)</b>

2. **EXTRA CLAIM FEES**

Total Claims	Extra Claims	Fee from below	Fee Paid
32	-20 **	12	18
3	-3 **	0	84
		X	0

Multiple Dependent: 0

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
103	18	203	9	Claims in excess of 20	
102	84	202	42	Independent claims in excess of 3	
104	280	204	140	Multiple dependent claim, if not paid	
109	84	209	42	** Reissue independent claims over original patent	
110	18	210	9	** Reissue claims in excess of 20 and over original patent	
<b>SUBTOTAL (2)</b>					<b>(\$ 216)</b>

\*\*or number previously paid, if greater; For Reissues, see above

**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	130
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17 (q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	40
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify) _____					
<b>*Reduced by Basic Filing Fee Paid</b>					<b>SUBTOTAL (3)</b> (\$ 170)

**SUBMITTED BY**

Name (Print/Type)	Joseph P. Curtin	Registration No. Attorney/Agent	34,571	Telephone	(202) 508-9100
Signature				Date	Oct. 10, 2001

**WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.